

**ALABAMA SECURITIES COMMISSION**  
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**Securities Commission Partners with Financial Planning Association to promote  
Sound Financial Planning**

MONTGOMERY, ALABAMA (September 23, 2013) The Alabama Securities Commission will participate in a “Financial Planning Expo” with the Financial Planning Association of North Alabama on October 1st, at Rosewood Hall in the Homewood City Hall Building, Homewood, AL. The schedule of events and details are shown below.

Director Borg said, “This Commission is glad to have the opportunity to partner with FPA professionals and take part in this event to provide information and free materials that will help citizens learn more about financial professional services, making informed investment decisions and learn how to better protect themselves from investment fraud.”

**Birmingham Financial Planning Expo**

**Date: Tuesday, October 1, 2013                      Time: 4:00pm to 8:00pm**

**Where: Rosewood Hall - Homewood City Hall Building, 2850 19th Street, Homewood, AL**  
Hors d’oeuvres and beverages will be provided for attendees

**Direct questions to: Tyler McGuire: Call 205-408-3007 or Email [tyler@marcalifeplanning.com](mailto:tyler@marcalifeplanning.com)**

This event is free and open to the public with the mission to help local citizens from the surrounding communities to learn and become aware of the importance of sound financial planning.

The public will have the opportunity to attend educational workshops and meet **one-on-one with professional, financial planners to discuss personal finance questions, concerns and interests.** Volunteer advisors will provide free, no-strings-attached advice.

A special thank you to the Alabama Securities Commission and Director Borg for helping the FPA to apply for and receive a grant from the Investor Protection Trust, Washington, D.C. This grant partially sponsors this event. Director Borg will present information and provide free materials concerning “Tips for Informed and Safe Investing”.

The presentation topics and times:

**4:10-4:55 “The Changing Landscape of Long Term Care – Are you prepared?”**

Presented by: Babs W. Hart, CLTC

**4:55-5:40 “Forging Your Financial Future”**

Presented by: Fergus Tuohy, CFP®, CRPC®, CDFIA

**5:40-6:25 “Estate Planning: An Introduction to the Essentials”**

Presented by: Stewart H. Welch, III, AEP, CFP®

**6:25-7:10 “Tips for Informed and Safe Investing”**

Presented by: Joe Borg, Director of the Alabama Securities Commission

**7:10-7:55 “Real World Retirement Planning”**

Presented by: Steve Garrett, Sr., CFP®, MBA

- more -

The ASC cautions potential investors to thoroughly scrutinize and research any investment opportunity or offer. Contact the ASC with inquiries concerning securities broker-dealers, agents, investment advisers, investment adviser representatives, financial planners, registration status of securities or debt management programs, to report suspected fraud or to obtain consumer information. The ASC provides free investor education and fraud prevention materials in print, on our website and through educational presentations upon request.

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For further information contact Dan Lord, Education and Public Affairs manager, 334-353-4858.

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