

## **Alabama Securities Commission Senior Special Agent Receives National Enforcement Award**

MONTGOMERY, ALABAMA (January 10, 2008) Joseph P. Borg, Director of the Alabama Securities Commission (ASC) announced today that Special Agent Ricky G. Locklar of the Commission's Enforcement Division has been awarded the 2008 Enforcement Award presented by the North American Securities Administrators Association (NASAA) at its Winter Enforcement Conference in Orlando, Florida.

### **The recognition stated:**

As Senior Special Agent/Enforcement with the Alabama Securities Commission, you have dedicated yourself to investor protection and have consistently sought to protect Alabama's residents from securities fraud. Through your work with NASAA, you have provided a high level of expertise to investor protection throughout North America.

Specifically, you are recognized for your service to the NASAA Enforcement Section's Special Project Development & Coordination Project Group and your extensive work on the Reg-D Rule 506 Offering Report, which served as the basis for the examination of Malory Investments, LLC. Your dedication to this project exemplifies your continuing commitment to securities law enforcement and investor protection. For this, and the many other services you have provided to NASAA, we are honored to present you with NASAA's Enforcement Award.

"We are grateful for the invaluable work that Ricky Locklar has provided for the ASC on behalf of the citizens of Alabama," Borg said. "This award signifies that The ASC has one of the most experienced and effective securities enforcement components in the country and Mr. Locklar's experience and dedication certainly strengthens that role."

Locklar graduated from Troy State University with a Bachelor of Science Degree in Criminal Justice and a minor in Computer Information Science in 1981. He successfully completed a 20 year career with the Montgomery Police Department, obtaining the rank of Captain. He became a Special Agent for the Alabama Securities Commission in June 2001 and was promoted to Senior Special Agent for the Enforcement Division in April, 2006. Locklar is from Union Springs, Alabama and has resided in the Montgomery, Alabama area for the past 26 years. He has been married for 22 years and has two sons. He and his family are active members of Frazer United Methodist Church.

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